



Attorney's Docket No.: 07977-280001 / US5027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yamazaki, et al  
Serial No. : 09/894,950  
Filed : June 27, 2001  
Title : SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF

Art Unit : 2825  
Examiner : Caridad Everhart  
Confirmation No.: 6263

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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JUL 28 2004

**INFORMATION DISCLOSURE STATEMENT**

**TECH CENTER 2800**

Applicants submit the references listed on the attached form PTO-1449.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: July 21, 2004

  
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Adjustment date: 07/23/2004 EABUBAK1

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07/23/2004 EABUBAK1 00000113 09894950

01 FC:1806

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Substitute Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
07977-280001Application No.  
09/894,950**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR § 1.318(b))

Applicant  
Yamazaki, et alFiling Date  
June 27, 2001Group Art Unit  
2825

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**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,886,364	03/23/1999	Zhang			
	AB	6,198,133 B1	03/06/2001	Yamazaki et al.			
	AC	6,340,830 B1	01/22/2002	Takemura			
	AD	6,392,255 B1	05/21/2002	Shibata et al.			
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	AG						
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	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	EP 1 081 676 A1	03/07/2001	EUROPE			In English	
	AM	EP 1 087 438 A2	03/28/2001	EUROPE			In English	
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.